

Validating Optimizations of Concurrent C/C++ Programs

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```
int X = 0;  int Y = 0;
```

```
Y = 4;  ||  if (X)  
X = 1;  ||      r = Y;
```

```
int X = 0;  int Y = 0;
```

```
Y = 4;  ||  if (X)
X = 1;  ||      r = Y;
```

Race on X \rightsquigarrow undefined semantics

$X == 1 \wedge r \neq 4$ is possible

(i.e., the program is wrong)

```
atomic_int X = 0; int Y = 0;
```

```
Y = 4;
```

```
atomic_store(&X, 1,  
            mo_release);
```

```
|| if (atomic_load(&X,  
                 mo_acquire))  
    r = Y;
```

```
atomic_int X = 0; int Y = 0;
```

```
Y = 4;
```

```
atomic_store(&X, 1,  
            mo_release);
```

```
||| if (atomic_load(&X,  
                mo_acquire))  
    r = Y;
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atomic_int X = 0; int Y = 0;
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Y = 4;
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atomic_store(&X, 1,  
            mo_release);
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if (atomic_load(&X,  
              mo_acquire))  
    r = Y;
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atomic_int X = 0; int Y = 0;
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Y = 4;
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atomic_store(&X, 1,  
            mo_release);
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if (atomic_load(&X,  
               mo_acquire))  
    r = Y;
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atomic_int X = 0; int Y = 0;
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```
Y = 4;
```

```
atomic_store(&X, 1,  
            mo_release);
```

```
if (atomic_load(&X,  
               mo_acquire))  
    r = Y;
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```
atomic_int X = 0; int Y = 0;
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```
Y = 4;
```

```
atomic_store(&X, 1,  
            mo_release);
```

```
if (atomic_load(&X,  
               mo_acquire))
```

```
    r = Y;
```

```
atomic_int X = 0; int Y = 0;
```

```
Y = 4;
atomic_store(&X, 1, mo_release);

|||

if (atomic_load(&X, mo_acquire))
    r = Y;
```

Concurrent Programming in C11

```
atomic_int X = 0;  int Y = 0;
```

```
Y = 4;
atomic_store(&X, 1,
             mo_release);
           ||
           ||
           ||
           ||
           ||
           ||
           ||
           ||
           ||
if (atomic_load(&X,
               mo_acquire))
r = Y;
```

⇓

```
X = Y = 0;
Y = 4;
Xrel = 1;    ||    if(Xacq)
                          r = Y;
```

An Unsafe Reordering

$X = Y = 0;$
 $Y = 4;$
 $X_{rel} = 1;$ \parallel $r = 4;$
 $\quad \quad \quad \text{if}(X_{acq})$
 $\quad \quad \quad \quad \quad r = Y;$

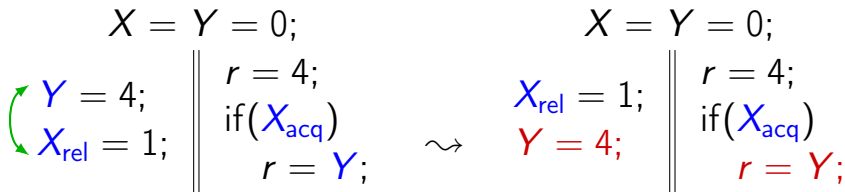
Always returns $r == 4$

\rightsquigarrow

$X = Y = 0;$
 $X_{rel} = 1;$
 $Y = 4;$ \parallel $r = 4;$
 $\quad \quad \quad \text{if}(X_{acq})$
 $\quad \quad \quad \quad \quad r = Y;$

May return $r == 0$

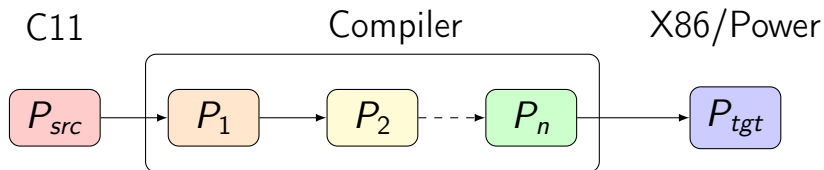
An Unsafe Reordering



Always returns $r == 4$

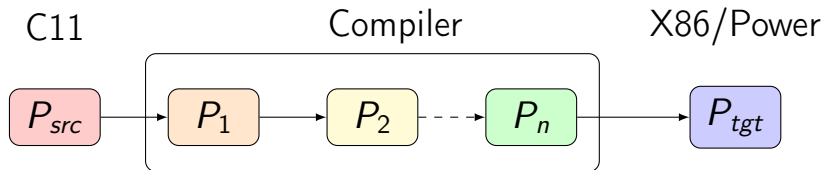
May return $r == 0$

Optimizations for sequential programs are **NOT** always safe for concurrent programs.



Q1: Which of the transformations are allowed?

Q2: Does a compiler perform only allowed transformations?



Q1: Which of the transformations are allowed?

C11 \rightsquigarrow C11 [POPL'15]

- $\text{access}(X); \text{access}(Y); \rightsquigarrow \text{access}(Y); \text{access}(X);$
- $\text{access}(X); \text{access}(X); \rightsquigarrow \text{access}(X);$

Reordering Transformations

Reordering ($a;b \rightsquigarrow b;a$)

$\downarrow a \setminus b \rightarrow$	R_{na}	W_{na}	R_{acq}	W_{rel}	\dots
R_{na}	✓	✓	✓	✗	\dots
W_{na}	✓	✓	✓	✗	\dots
R_{acq}	✗	✗	✗	✗	\dots
W_{rel}	✓	✓	✓	✗	\dots
\dots	\dots	\dots	\dots	\dots	\dots

$t = X; s = Y_{acq}; \rightsquigarrow s = Y_{acq}; t = X;$ ✓

$s = Y_{acq}; t = X; \rightsquigarrow t = X; s = Y_{acq};$ ✗

Read-after-Read(RAR)

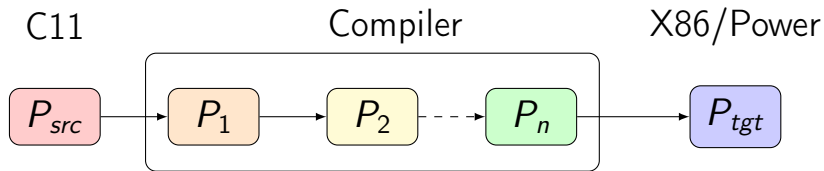
$$t = X; t' = X; \rightsquigarrow t = X; t' = t; \quad \checkmark$$

Read-after-Read(RAW)

$$X = 1; t = X; \rightsquigarrow X = 1; t = 1; \quad \checkmark$$

Over-written Writes(OW)

$$X = 1; X = 2; \rightsquigarrow X = 2; \quad \checkmark$$



Q1: Which of the transformations are allowed?

- $C11 \rightsquigarrow C11$ [POPL'15]

Q2: Does a compiler perform only allowed transformations?

- C11 compilation by LLVM [CGO'16]

	$X = Y = 0;$
	$f = \textit{false};$
	\dots
$Y = 4;$	$a = f ? Y : 0;$
$X_{\text{rel}} = 1;$	$b = X_{\text{acq}} ? Y : 4;$

Another Example

	$X = Y = 0;$
	$f = \textit{false};$
	\dots
$Y = 4;$	
$X_{\text{rel}} = 1;$	$a = f ? Y : 0;$
	$b = X_{\text{acq}} ? Y : 4;$

Output: $b == 4$ always

		$X = Y = 0;$
$X = Y = 0;$		$f = false;$
$f = false;$		\dots
\dots	$\overset{-03}{\rightsquigarrow}$	$s = Y;$
$a = f ? Y : 0;$		$a = f ? s : 0;$
$b = X_{acq} ? Y : 4;$		$t = X_{acq};$
		$b = t ? s : 4;$

Context:

$$\left[\begin{array}{l} \parallel Y = 4; \\ \parallel X_{rel} = 1; \end{array} \right]$$

Output $b == 0$ possible in target.

LLVM Compilation Bug in More Detail

```
X = Y = 0;  
f = false;  
...  
a = f ? Y : 0;  
b = Xacq ? Y : 4;
```

```
X = Y = 0;  
f = false;  
...  
(1) s = Y;  
a = f ? s : 0;  
t = Xacq;  
r = Y;  
b = t ? r : 4;
```

```
X = Y = 0;  
f = false;  
...  
(2) s = Y;  
a = f ? s : 0;  
t = Xacq;  
r = Y;  
b = t ? s : 4;
```

LLVM Compilation Bug in More Detail

```
X = Y = 0;  
f = false;  
...  
a = f ? Y : 0;  
b = Xacq ? Y : 4;
```

	<pre>X = Y = 0; f = false; ...</pre>		<pre>X = Y = 0; f = false; ...</pre>
(1)	<pre>s = Y; a = f ? s : 0; t = X_{acq}; r = Y; b = t ? r : 4;</pre>	(2)	<pre>s = Y; a = f ? s : 0; t = X_{acq}; r = Y; b = t ? s : 4;</pre>

LLVM Compilation Bug in More Detail

```
X = Y = 0;  
f = false;  
...
```

```
a = f ? Y : 0;  
b = Xacq ? Y : 4;
```

```
X = Y = 0;  
f = false;  
...
```

(1) \rightsquigarrow

```
s = Y;  
a = f ? s : 0;  
t = Xacq;  
r = Y;  
b = t ? r : 4;
```

```
X = Y = 0;  
f = false;  
...
```

(2) \rightsquigarrow

```
s = Y;  
a = f ? s : 0;  
t = Xacq;  
r = Y;  
b = t ? s : 4;
```

C11: (1) **Error**

LLVM Compilation Bug in More Detail

```
X = Y = 0;  
f = false;  
...
```

```
a = f ? Y : 0;  
b = Xacq ? Y : 4;
```

```
X = Y = 0;  
f = false;  
...
```

(1) \rightsquigarrow

```
s = Y;  
a = f ? s : 0;  
t = Xacq;  
r = Y;  
b = t ? r : 4;
```

```
X = Y = 0;  
f = false;  
...
```

(2) \rightsquigarrow

```
s = Y;  
a = f ? s : 0;  
t = Xacq;  
r = Y;  
b = t ? s : 4;
```

C11: (1) **Error** (2) **Correct**

LLVM Compilation Bug in More Detail

```
X = Y = 0;  
f = false;  
...
```

```
a = f ? Y : 0;  
b = Xacq ? Y : 4;
```

```
X = Y = 0;  
f = false;  
...
```

(1) \rightsquigarrow

```
s = Y;  
a = f ? s : 0;  
t = Xacq;  
r = Y;  
b = t ? r : 4;
```

```
X = Y = 0;  
f = false;  
...
```

(2) \rightsquigarrow

```
s = Y;  
a = f ? s : 0;  
t = Xacq;  
r = Y;  
b = t ? s : 4;
```

C11: (1) **Error** (2) **Correct**

LLVM: (1) **Correct**

LLVM Compilation Bug in More Detail

```
X = Y = 0;  
f = false;  
...
```

```
a = f ? Y : 0;  
b = Xacq ? Y : 4;
```

```
X = Y = 0;  
f = false;  
...
```

(1) \rightsquigarrow

```
s = Y;  
a = f ? s : 0;  
t = Xacq;  
r = Y;  
b = t ? r : 4;
```

```
X = Y = 0;  
f = false;  
...
```

(2) \rightsquigarrow

```
s = Y;  
a = f ? s : 0;  
t = Xacq;  
r = Y;  
b = t ? s : 4;
```

C11: (1) **Error** (2) **Correct**

LLVM: (1) **Correct** (2) **Error**

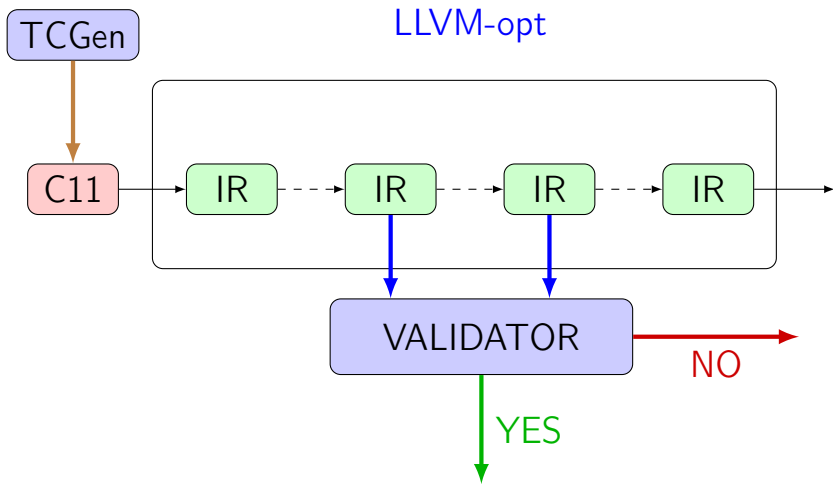
$P_{src} \xrightarrow{\text{LLVM}} P_{tgt} ?$ **Correct** : **Potential Error**



$P_{src} \xrightarrow{(RUE)^*} P_{tgt} ?$ **Correct** : **Potential Error**

w.r.t. safe reorderings (R) & eliminations (E):

- For the LLVM model
- For the C11 model



Exposed concurrency compilation bugs in LLVM 3.6

- Reported and fixed in LLVM 3.7

Compiler Independent Matching (CIM)

- Can be used in validating other compilers.

Metadata Based Matching (MD)

- LLVM specific, uses metadata in LLVM.

Compiler Independent Matching (CIM)

- Can be used in validating other compilers.

Steps:

- Identify corresponding program paths
- Compute deletability of accesses
- Match access sequences and analyze

Example: Compiler Independent Matching

$$s_1 = X$$

$$s_2 = X$$

$$V = 1$$

$$s_4 = Z_{\text{acq}}$$

$$Y = 1$$

$$Y = 2$$

Example: Compiler Independent Matching

$$\checkmark s_1 = X$$

$$s_2 = X$$

$$V = 1$$

$$s_4 = Z_{\text{acq}}$$

$$Y = 1$$

$$Y = 2$$

Example: Compiler Independent Matching

✓ $s_1 = X$

✗ $s_2 = X$

$$V = 1$$

$$s_4 = Z_{\text{acq}}$$

$$Y = 1$$

$$Y = 2$$

Example: Compiler Independent Matching

✓ $s_1 = X$

✗ $s_2 = X$

$$V = 1$$

✓ $s_4 = Z_{\text{acq}}$

$$Y = 1$$

$$Y = 2$$

Example: Compiler Independent Matching

✓ $s_1 = X$

✗ $s_2 = X$

$V = 1$

✓ $s_4 = Z_{\text{acq}}$

$Y = 1$

✓ $Y = 2$

Example: Compiler Independent Matching

✓ $s_1 = X$

✗ $s_2 = X$

$V = 1$

✓ $s_4 = Z_{\text{acq}}$

✗ $Y = 1$

✓ $Y = 2$

Example: Compiler Independent Matching

✓ $s_1 = X$

✗ $s_2 = X$

✓ $V = 1$

✓ $s_4 = Z_{\text{acq}}$

✗ $Y = 1$

✓ $Y = 2$

Example: Compiler Independent Matching

✓ $s_1 = X$

✗ $s_2 = X$

✓ $V = 1$

✓ $s_4 = Z_{\text{acq}}$

✗ $Y = 1$

✓ $Y = 2$

$$t_1 = X$$

$$t_2 = Z_{\text{acq}}$$

$$Y = 2$$

$$V = 1$$

Example: Compiler Independent Matching

✓ $s_1 = X$

✗ $s_2 = X$

✓ $V = 1$

✓ $s_4 = Z_{\text{acq}}$

✗ $Y = 1$

✓ $Y = 2$

$t_1 = X$

$t_2 = Z_{\text{acq}}$

$Y = 2$

$V = 1$



Example: Compiler Independent Matching

✓ $s_1 = X$

✗ $s_2 = X$

✓ $V = 1$

✓ $s_4 = Z_{\text{acq}}$

✗ $Y = 1$

✓ $Y = 2$

$t_1 = X$

$t_2 = Z_{\text{acq}}$

$Y = 2$

$V = 1$

Example: Compiler Independent Matching

✓ $s_1 = X$

✗ $s_2 = X$

✓ $V = 1$

✓ $s_4 = Z_{\text{acq}}$

✗ $Y = 1$

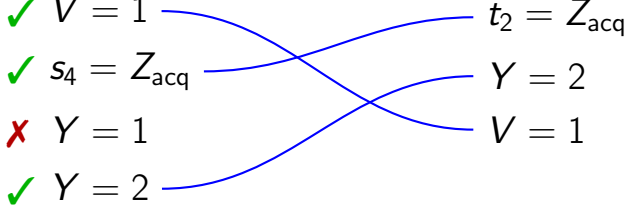
✓ $Y = 2$

$t_1 = X$

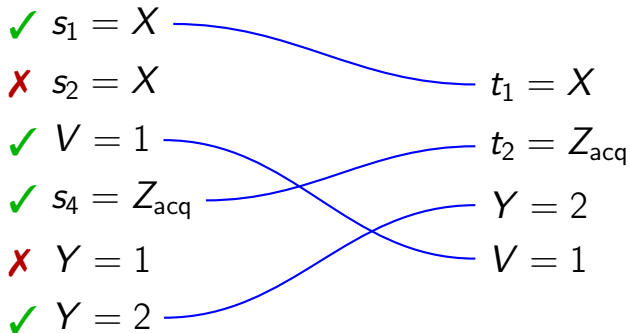
$t_2 = Z_{\text{acq}}$

$Y = 2$

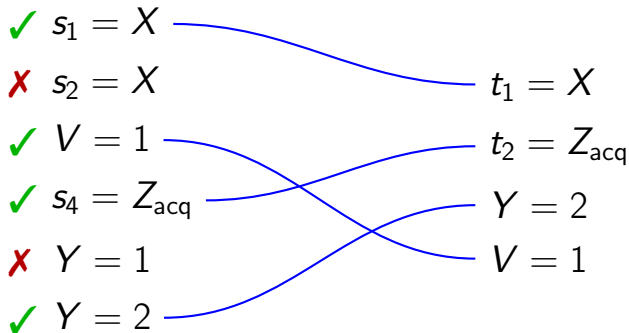
$V = 1$



Example: Compiler Independent Matching

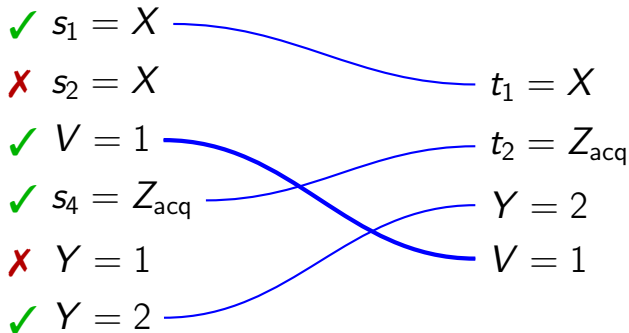


Example: Compiler Independent Matching



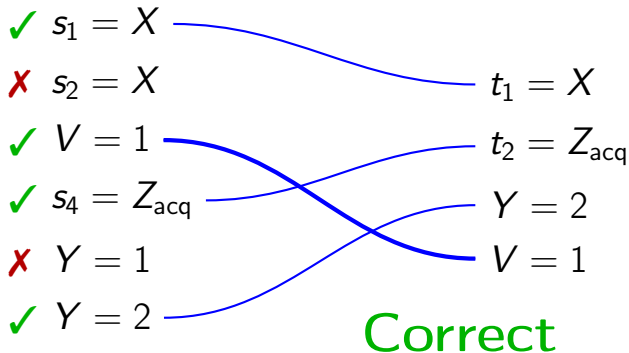
- Check that unmatched accesses are deletable
- Check that reorderings are allowed

Example: Compiler Independent Matching



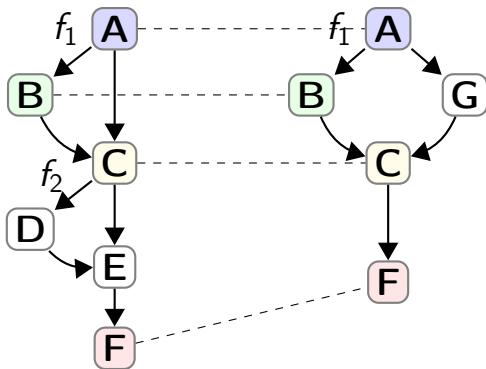
- Check that unmatched accesses are deletable
- Check that reorderings are allowed

Example: Compiler Independent Matching



- Check that unmatched accesses are deletable
- Check that reorderings are allowed

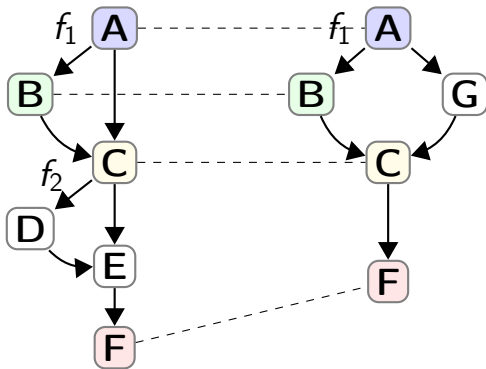
Program with Control Flow



$\{ABCDEF, ABCE\} \Rightarrow ABCF$, $\{ACDEF, ACEF\} \Rightarrow AGCF$

- Use branching conditions to match the paths
 - using Z3 SMT solver
- Match access sequences for each path pair

Program with Loops



- Unroll loops a fixed number of times
- Use branching conditions to match the paths
 - using Z3 SMT solver
- Match access sequences for each path pair

Compiler optimizations require careful analysis

Reported LLVM concurrency compilation bugs; all were fixed.

Validator: <http://plv.mpi-sws.org/validc/>



Integrate with validator for sequential programs.

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Handle loops effectively.

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Handle other language features (e.g. array, pointer)

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use SAT/SMT solvers

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Thank you !